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|--|---------------------------------|-------------------|
| <b>FORM PTO-1449 (MODIFIED)</b><br><br><b>LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS INFORMATION DISCLOSURE STATEMENT</b> | <b>ATTORNEY DOCKET NO.</b>      | <b>SERIAL NO.</b> |
|  | SP02-139                        | 10/729556         |
|  | APPLICANT SMITH DAVID W, et al. |                   |
|  | FILING DATE 12/4/2003           | GROUP: 2872       |

| REFERENCE DESIGNATION |    |                 |         | U.S. PATENT DOCUMENTS |       |           |                        |
|-----------------------|----|-----------------|---------|-----------------------|-------|-----------|------------------------|
| Examiner Initial      |    | Document Number | Date    | Name                  | Class | Sub-Class | Filing Date if Approp. |
| RJ                    | AA | 2002/0097466    | 7/25/02 | Mao et al             | 359   | 127       | 1/19/01                |
|                       | AB |                 |         |                       |       |           |                        |
|                       | AC |                 |         |                       |       |           |                        |
|                       | AD |                 |         |                       |       |           |                        |
|                       | AE |                 |         |                       |       |           |                        |
|                       | AF |                 |         |                       |       |           |                        |
|                       | AG |                 |         |                       |       |           |                        |
|                       | AH |                 |         |                       |       |           |                        |
|                       | AI |                 |         |                       |       |           |                        |
|                       | AJ |                 |         |                       |       |           |                        |
|                       | AK |                 |         |                       |       |           |                        |

| FOREIGN PATENT DOCUMENTS |    |                 |          |         |       |           |                    |
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|                          |    | Document Number | Date     | Country | Class | Sub-Class | Translation Yes No |
| RJ                       | AL | EP1162862       | 12/12/01 | Europe  | H04Q  | 11/00     | X                  |
|                          | AM |                 |          |         |       |           |                    |
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|                          | AO |                 |          |         |       |           |                    |
|                          | AP |                 |          |         |       |           |                    |
|                          | AQ |                 |          |         |       |           |                    |

| OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) |    |  |
|--|----|--|
| RJ   | AR | Harada et al; "Hierarchical Optical Path Cross-Connect Systems for Large Scale WDM Networks"; Department of Electrical and Electronics Engineering, Faculty of Science and Technology, Sophia University, Tokyo, Japan 2-21-1999 |
| RJ   | AS | Matsumoto et al; "Studies on Optical Digital Cross-Connect Systems for Very-High-Speed Optical Communications Networks"; IEICE Trans. Commun.; Vol. E83-B.; No. 1; January 2000; pages 30-36.                                    |
|  | AT |  |
|  | AU |  |

EXAMINER: /Ralph Jean Bart/ DATE CONSIDERED: 11/16/2006

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.